

09-04-01

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11000 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

50277-1786

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Jay Rossiter, et al., entitled TECHNIQUES FOR MANAGING CONFIGURATION FOR A SYSTEM OF DEVICES ARRANGED IN A NETWORK for a(n):

() Original Patent Application.

(X) Continuing Application (prior application not abandoned):

() Continuation (X) Divisional () Continuation-in-part (CIP)

of prior application No: 09/240,229 Filed on: 1/29/99

(X) A statement claiming priority under 35 USC § 121 has been added to the specification.

Enclosed are:

(X) Specification (including cover sheet); 35 Total Pages. (X) Drawing(s); 10 Total Sheets.

(X) Oath or Declaration:

() A Newly Executed Combined Declaration and Power of Attorney:

() Signed. () Unsigned. () Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

(X) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

() Power of Attorney.

(X) Return Receipt Postcard.

() Associate Power of Attorney.

(X) A Check in the amount of \$710.00 for the Filing Fee.

() Preliminary Amendment.

(X) Information Disclosure Statement and Form PTO-1449.

() Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

(X) A Duplicate Copy of this Form for Processing Any Deficiency of Fee Against Deposit Account.

() A Certified Copy of Priority Documents (if foreign priority is claimed).

() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

| CLAIMS AS FILED | | | | |
|---|-----------|-----------|---------|-----------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 6 | 0 | \$18.00 | \$ 0.00 |
| Independent Claims | 1 | 0 | \$80.00 | \$ 0.00 |
| Multiple Dependent Claims (if applicable) | | | | \$ 0.00 |
| Assignment Recording Fee | | | | \$ 0.00 |
| Basic Filing Fee | | | | \$ 710.00 |
| Total Filing Fee | | | | \$ 710.00 |

Charge \$ _____ to Deposit Account _____ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Brian D. Hickman,
Attorney of Record, Reg. No. 35,894

Date: August 31, 2001

Correspondence Address:



29989

PATENT & TRADEMARK OFFICE

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

By: Jennifer Newell

Typed Name: Jennifer Newell

Express Mail Label No.: EL734779709US

Date of Deposit: August 31, 2001

Patent fees are subject to annual revision,
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

Complete if Known

| | |
|----------------------|-----------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Jay Rossiter, et al. |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 50277-1786 (OID 200-149-01) |

FEE CALCULATION (continued)

3. ☐ Applicant(s) is entitled to small entity status.
See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) **(\$)** 710.00

2. EXTRA CLAIM FEES

| 2. EXTRA CLAIMS | | Extra Claims | | Fee from Below | Fee Paid |
|--------------------|---|--------------|---|----------------|----------|
| Total Claims | 6 | -20**= | 0 | 18.00 | = 0.00 |
| Independent Claims | 1 | -3**= | 0 | 80.00 | = 0.00 |
| | | | | | = |

Multiple Dependent

| **or number previously paid, if greater | | | | Fee Description |
|---|-------------|---------------------------|-------------|--|
| Large Enty Fee Code | Fee (\$) | Small Enty Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

| | |
|---------------------|-------------|
| SUBTOTAL (2) | (\$) |
|---------------------|-------------|

3 ADDITIONAL FEES

| 3. ADDITIONAL FEES | | | | Fee Description |
|-----------------------------|-----------|-----------------------------|---------------------------|--|
| Large Entity Fee Code | Fee \$ | Small Entity Fee Code | Small Entity Fee \$ | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. |
| 139 | 130 | 139 | 130 | Non-English specification |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 | Extension for reply within first month |
| 116 | 390 | 216 | 195 | Extension for reply within second month |
| 117 | 890 | 217 | 445 | Extension for reply within third month |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month |
| 119 | 310 | 219 | 155 | Notice of Appeal |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal |
| 121 | 270 | 221 | 135 | Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) |
| 143 | 430 | 243 | 215 | Design issue fee |
| 144 | 580 | 244 | 290 | Plant issue fee |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications |
| 126 | 240 | 126 | 240 | Submission of information Disclosure Stmt |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) |

Other fee (specify)

Other fee (specify) _____

SUBTOTAL (3)

*Reduced by Basic Filing Fee Paid

Complete (if applicable)

SUBMITTED BY


| | |
|-------------------|------------------|
| Name (Print/Type) | Brian D. Hickman |
|-------------------|------------------|

Signature _____

| | |
|--------------------------------------|--------|
| Registration No. (Attorney/Agent) | 35,894 |
|--------------------------------------|--------|

Complete (if applicable)

| | |
|-----------|-----------------|
| Telephone | (408) 414-1080 |
| Date | August 31, 2001 |

| | |
|--|---|
| Name (Print/Type) | Brian D. Hickman |
| Signature |  |
| <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | |

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Express Mail No. EL734779709

Express Mail No. EL734779709US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jay Rossiter, et al.

Group Art Unit: NYA

Application No.:

Examiner: NYA

Filed:

Title: TECHNIQUES FOR MANAGING CONFIGURATION
FOR A SYSTEM OF DEVICES ARRANGED IN A
NETWORK

**STATEMENT TO DELETE INVENTORS NAMED IN DECLARATION OF PRIOR
APPLICATION PURSUANT TO C.F.R. 1.63(d)(2)**

Box Patent Applications
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby request the deletion of the following inventors from the copy, filed concurrently herewith, of the declaration of the prior application Serial No. 09/240,229 filed January 29, 1999. The declaration of the prior application lists inventors for all claims at the time of filing. On July 18, 2001, in response to a restriction requirement imposed by an Office Action on the prior application, the prior application was amended to cancel claims and to delete inventors who contributed only to the canceled claims. Some of the canceled claims are the subject of the divisional application filed concurrently herewith. Thus, the declaration for the prior application includes the names of inventors who are no longer inventors of the prior application. Furthermore, the declaration for the prior application also includes names of inventors who are not inventors for the claims of the divisional application filed concurrently herewith.

0945135-083101
"SET 54650"

The inventors to be deleted from the copy of the declaration for the divisional application
filed concurrently herewith are as follows:

Lawrence J. Ellison, C. Gregory Doherty, Bala Kumaresan, John John E. So,
Niloy Banerjee, Srinivas Maturi, Lalitha Ganti, Balasubramanyam Sthanikam,
Joseph Therrattil Koonen and Harish Rawat.

I hereby certify that this correspondence is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" service under 37 CFR § 1.10 on the date indicated below
and is addressed to: Box Patent Applications, Commissioner for
Patents, Washington, D.C. 20231.

Express Mail No. EL734779709US

Date of Deposit: August 31, 2001

Typed Name:

Signature: Jennifer Newell

Respectfully submitted,

By 

Brian D. Hickman

Reg. No. 35,894

Date: August 31, 2001

Telephone No.: (408) 414-1080 ext. 201

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San Jose, California 95125-5106

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